

# DUNDAS GLOBAL INVESTORS

## RTS28 reporting for 2017 (1 January to 31 December)

Class of instrument	Equities		
Notification if <1 average trade per business day in the previous year	N		
Top five brokers ranked in terms of volume (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of directed orders
INVESTMENT TECHNOLOGY GROUP LTD (LEI: 213800EEC95PRUCEUP63)	32.9%	37.8%	0%
PERSHING LLC (LEI: ZI8Q1A8EI8LQFJNMOD94)	25.3%	13.9%	0%
BLOOMBERG TRADEBOOK LLC (LEI: 549300POPVQNO43T7K36)	11.3%	3.1%	0%
INSTINET EUROPE LTD (LEI: 213800MXAKR2LA1VBM44)	10.8%	4.5%	0%
INSTINET PACIFIC LIMITED (LEI: 549300RI6VZUNIR81J81)	7.7%	33.1%	0%

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